BEST AVAILABLE COPY

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 5:

B22F 9/14, B29B 9/00

A1

(11) International Publication Number: WO 95/03907

A1

(43) International Publication Date: 9 February 1995 (09.02.95)

(21) International Application Number: PCT/US94/08533

(22) International Filing Date: 26 July 1994 (26.07.94)

(30) Priority Data: 08/097,884 27 July 1993 (27.07.93) US

(71) Applicant: NANOPHASE TECHNOLOGIES CORPORA-TION [US/US]; Suite 105, 8205 South Cass Avenue, Darien, IL 60559 (US).

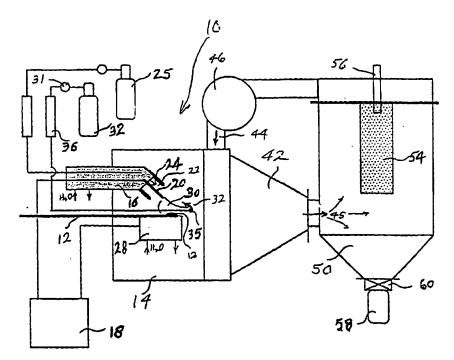
(72) Inventors: PARKER, John, C.; 2860 Garden Drive, Lisle, IL 60532 (US). ALI, Mohammed, N.; Apartment 208, 1597 Country Lakes Drive, Naperville, IL 60563 (US). LYM-PANY, Byron, B.; 5961 Stewart Drive, #823, Willowbrook, IL 60514 (US).

(74) Agents: RECHTIN, Michael, D. et al.; Reinhart, Boerner, Van Deuren, Norris & Rieselbach S.C., 1000 North Water Street, Milwaukee, WI 53202 (US). (81) Designated States: AU, JP, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).

Published

With international search report.

(54) Title: METHOD AND APPARATUS FOR MAKING NANOSTRUCTURED MATERIALS



(57) Abstract

A method and system for synthesizing nanocrystalline material. A system includes a chamber (14), a nonconsumable cathode (20) shielded against chemical reaction by a working gas not including an oxidizing gas, but including an inert gas, a consumable anode (12) vaporizable by an arc formed between the cathode and the anode, and a nozzle (35) for injecting at least one of a quench and reaction gas in the boundaries of the arc.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria ·	GB	United Kingdom	MR	Mauritania
ΑŪ	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria .	· DE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgystan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic	SD	Sudan
CG	Congo		of Korea	SE	Sweden
CH	Switzerland	KR	Republic of Korea	SI	Slovenia
CI	Côte d'Ivoire	K2	Kazakhstan	SK	Slovakia
CM	Cameroon	LI	Liechtenstein	SN	Senegal
CN	China	LK	Sri Lanka	TD	Chad
cs	Czechoslovakia	LU	Luxembourg	TG	Togo
CZ	Czech Republic	LV	Latvia	TJ	Tajikistan
DE	Germany	MC	Monaco	TT	Trinidad and Tobago
DK	Denmark	MD	Republic of Moldova	UA	Ukraine
ES	Spain	MG	Madagascar	US	United States of America
FI	Finland -	ML	Mali	UZ	Uzbekistan
FR	France	MN	Mongolia	VN	Vict Nam
GA	Gabon				

METHOD AND APPARATUS FOR MAKING NANOSTRUCTURED MATERIALS

The present invention is concerned generally with a method of making a nanostructured material. More particularly, the invention is concerned with a method of making a variety of stoichiometric-nanostructured materials by controlling the working gas composition in a plasma arc system. The production rate of nanostructured material can also be substantially enhanced by combining N₂ or H₂ gas with Ar working gas.

In the recent past, it has been shown that nanostructured materials exhibit enhanced or unique properties compared to typical polycrystalline materials. For example, metallic nanostructured materials can be sintered at low temperatures but exhibit higher hardness and yield strength than polycrystalline metallic materials. Ceramic nanostructured materials exhibit much greater ductility at low temperatures compared to conventional ceramic materials. In order to manufacture such nanostructured materials, it is necessary to control the particle size and chemical stoichiometry. However, in order to prepare commercial quantities of these nanostructured materials, the process must also allow rapid production while controlling the chemistry and particle size. Current methods of manufacture do cable some control of particle size but cannot reliably control the chemical stoichiometry or rapidly manufacture the material in large quantities while also controlling the particle size and stoichiometry.

It is therefore an object of the invention to provide an improved method and article of manufacture of nanostructured material.

It is also an object of the invention to provide a novel method of manufacturing a nanostructured material of controlled stoichiometry.

It is another object of the invention to provide an improved method of producing large quantities of nanostructured materials of well controlled particle size and chemical stoichiometry.

It is a further object of the invention to provide a novel article of manufacture of nanostructured material of well defined, very small grain size.

It is an additional object of the invention to provide an improved method and article of manufacture of nanostructured gamma ferrite.

It is still another object of the invention to provide a novel method of manufacturing a nanostructured material of controlled particle size using working gas mixtures of argon and nitrogen and/or hydrogen and/or a carbon containing gas.

It is yet a further object of the invention to provide an improved method of controlling nanostructured grain size by controlling the amount and variety of quench gas injected into a reaction zone of a plasma arc system.

It is yet an additional object of the invention to provide a novel method of controlling manufacture of nanostructured material by control of color and intensity of light output by the reaction zone, cathode zone and anode zone of a plasma arc system.

It is also a further object of the invention to provide an improved method of generating stoichiometric A1₂O₃, ZrO₂, TiO₂ and Fe₂O₃ and nanostructured material.

It is still an additional object of the invention to provide a novel method of controlling production of nanostructured material by controlled adjustment of working gas and quench gas injection location in a plasma arc system.

It is yet another object of the invention to provide an improved method of controlling pore size distribution and pore size spacing of a nanostructured material.

These and other objects and advantages of the invention will become apparent from the following description including the drawings described hereinbelow.

Brief Description of the Drawings

FIGURE 1A is one embodiment of a plasma arc system constructed in accordance with the invention and FIG. 1B is another embodiment of the system;

FIGURE 2A illustrates one embodiment of gas injection nozzles for the plasma arc system and FIG. 2B shows another gas injection nozzle embodiment;

FIGURE 3A shows an X-ray diffraction plot of a TiN nanostructured material and FIG. 3B shows an X-ray plot of a TiO₂ nanostructured material;

FIGURE 4A is an energy dispersive X-ray analysis output for a titanium oxide prepared without oxygen present in the working arc and FIG. 4B for a titanium oxide prepared with oxygen in the working gas;

FIGURE 5A illustrates a top view of a nozzle for turbulent mixing of a nanocrystal aerosol using tangentially injected gas and FIG. 5B shows the mixing nozzle with radially injected gas;

FIGURE 6 is a mixing nozzle for receiving nanocrystalline aerosol from a plurality of sources;

FIGURE 7 is a graph of quench/reaction gas flow rate into a mixing nozzle versus nanocrystalline particle diameter;

FIGURE 8A is a graph of nanocrystalline particle size versus gas quench flow rate into the plasma tail flame; FIGURE 8B is the nanocrystalline particle size versus quench/reaction gas injection point relative to the molten anode position; and FIGURE 8C is titania production rate; and

FIGURE 9A shows particle size distribution for nanocrystalline material prepared in accordance with the invention as compared to a prior art method; FIGURE 9B illustrates pore volume versus pore diameter for nanocrystalline

material prepared in accordance with the invention as compared to a prior art method; FIGURE 9C shows the pore size spacing distribution for a nanocrystalline material prepared in accordance with the invention; and FIGURE 9D shows the small angle neutron scattering characteristic of nanocrystalline material before treatment and after treatment to form the pore array of controlled spacing.

Detailed Description of Preferred Embodiments

A plasma arc system constructed in accordance with the invention is shown generally in FIG. 1 at 10. The preparation of nanostructured, or nanocrystalline, material begins with the vaporization of a high purity precursor material 12 in a chamber 14 via an arc generated, for example, by a water-cooled TIG (tungsten inert gas) torch 16 driven by a power supply 18. The interior of the chamber 14 is preferably maintained at a relative pressure of about 20 inches of mercury vacuum up to +3 psi positive pressure (absolute pressure 250 torr to 1000 torr).

The precursor material 12 is melted and vaporized by the transfer of arc energy from a nonconsumable electrode, such as a tungsten electrode 20 with 2% thorium oxide. The nonconsumable tungsten electrode 20 is shielded by a stream of an inert working gas 22 from reservoir 25 to create the arc. The working gas 22 acts to shield the nonconsumable tungsten electrode 20 from an oxidizing environment and then becomes a working plasma gas when it is ionized to a concentration large enough to establish an arc. The inert working gas 22 preferably contains argon and is directed by a water cooled nozzle 24 attached to the torch 16.

The consumable precursor material 12 is, for example, in the form of a rod which has a diameter of 0.0625" to 2" diameter and is fed horizontally (see FIG. 1A) or vertically (see FIG. 1B) relative to the nonconsumable tungsten electrode 20. The feed rod precursor material 12 is continuously fed to allow a

stable arc and continuous production of nanocrystalline material. A continuous production is preferred over batch operation because the process can be run on a more consistent basis. The precursor material 12 is electrically grounded and cooled by a comper anode 28, and the precursor material 12 is given both translational and rotational motion.

The nonconsumable tungsten electrode 20 is preferably inclined at an angle so as to create an elongated arc plasma tail flame 30. Depending on the current level, the plasma tail flame 30 can be about one to several inches long. The plasma tail flame 30 acts as a high temperature gradient furnace into which the vaporized precursor material 12 is injected along with a quench and/or reaction gas 32 (hereinafter, "quench/reaction gas"), if desired, through the nozzle 35. The amount of the quench/reaction gas 32 injected into the plasma tail flame 30 is controlled by a flow meter 36 having regulator 31 as in the case of the working gas reservoir 25. A concentric gas injection geometry is established around the plasma tail flame 30 to allow homogeneous insertion of the quench/reaction gas 32. Preferably the nozzle 35 is one of an arrangement of nozzles 37 as shown in FIG. 2. The quench/reaction gas nozzles 37 can be positioned at any point along the length of the plasma tail flame 30 as shown in FIG. 1. The insertion location of the quench/reaction gas 32 can act to truncate the length of the plasma tail flame 30 and allow control of the manufacturing process. The quench and reaction gas composition preferably is one of oxygen, nitrogen, helium, air or combinations of these gases.

The plasma arc system 10 can be used to manufacture a variety of nanostructured material. For example, titanium metal vapor in the plasma tail flame 30 can be reacted with nitrogen gas to form 8 - 25 nm TiN nanocrystals. Titanium metal can also be reacted with oxygen gas to form 5 - 40 nm TiO₂ nanocrystals (see the Examples). X-ray diffraction data of the two Ti based

ceramic materials TiN (FIG. 3A) and TiO₂ (FIG. 3B) show that two distinct materials are formed using the plasma tail flame 30 as a reaction zone.

Transmission electron microscopy also shows distinctly different morphologies for the two materials.

To increase the temperature gradient in the plasma tail flame 30 and increase its length, a dissociable inert gas (such as, nitrogen, hydrogen or both) can be mixed (1-70%) with the working gas 22 which shields the nonconsumable tungsten electrode 20. A higher temperature in the plasma tail flame 30 allows for a more complete reaction of the precursor material 12 with the reaction gas 32. In addition, control of the point of injection can be used to control the completeness of reaction of the precursor material 12. The large temperature gradient also can control the nanocrystal formation (size and distribution) and the degree to which the nanocrystals agglomerate. Unlike prior art (such as in U.S. patent 4,732,369), dissociable oxygen gas is most preferably not used in the working gas (termed "pinch gas" in 4,732,369) because it causes erosion of the nonconsumable tungsten electrode 20 and generates tungsten impurities in the final product. FIG. 4 shows an energy dispersive X-ray analysis of material made without (FIG. 4A) and with (FIG. 4B) 0.5% oxygen present in the working gas 22. It is apparent that even a small amount of oxygen (>0.5%) in the working gas 22 can cause tungsten impurities at levels of about 0.2% in the final product. Inductively coupled plasma mass spectrometry impurity analysis shows that the materials made by this process, and not using oxygen in the working gas 22, are very pure. Table 1 shows the typical impurities present in materials made by this preferred method.

Table 1						-	
% mass i	mpurities in	Al ₂ O ₃ (99	.9092%)				
Na	Mg	Si	K	Ca	Ti	Fe	Cu
0.0063	0.0038	0.018	0,0051	0.0094	0.0010	0.0400	0.0072
% mass impurities in TiO ₂ (99.9226%)							
Al	Mn	Si	E.	Ca	Ni	Fe	Cu
0.0233	0.0021	0.0047	0.0051	0.0048	0.0052	0.0290	0.0032

Once a nanocrystal aerosol 40 (see FIG. 2) is formed by the quench/reaction gas 32, the agglomeration of the nanocrystals takes place. At this point the aerosol 40 is turbulently mixed in a reducing cone-shaped nozzle 42 to prevent further agglomeration. Recirculated gas or room air 44 is introduced by blower 46 into the cone-shaped nozzle 42. The recirculated gas 44 can be injected into the nozzle 42 radially (see FIG. 5B) or tangentially (FIG. 5A) by a gas inlet head 48. The swirling motion of the gas 44 generated in the cone-shaped nozzle 42 mixes and dilutes the aerosol 40 with the cool recirculated gas 44 to prevent further agglomeration of the nanocrystals. The cone-shaped nozzle 42 can also be used to blend and homogenize the nanocrystal aerosol 40 generated by one or more sources 43 as shown in FIG. 6. The sources 43 can be of the same material to increase production rates or individual sources generating a different material allowing the formation of composites.

As best seen in FIG. 1, after leaving the cone-shaped nozzle 42, a mixed aerosol 45 is rapidly expanded into a large volume collector housing 50 by the action of the blower 46. The expansion of the gas allows further cooling of the aerosol 45. A temperature decrease of several hundred degrees has been measured after expansion of the gas. The large collector housing 50 contains a filtering

media 54. The filtering media 54 traps the weakly agglomerated nanocrystals, and the gases are separated through the filtering media 54 by the blower 46. The nanocrystals remain on the filtering media 54 until they are released by a gas pulse from gas jet source 56. The filtering media 54 can be porous sintered metal or cloth fibers with a temperature resistant coating. The agglomerated nanocrystals behave as conventional powders; and once freed from the filtering media 54, the powders are gravitationally collected in a storage vessel 58 through a valve 60.

The quality of the nanostructured material (average particle size, size distribution, purity and degree of agglomeration) can be controlled by the point at which the quench/reaction gas 32 is injected, and the dilution of the nanocrystal aerosol 40 can be made soon after formation of the particles. In FIG. 7 is shown the agglomerate particle size versus the amount of the quench/reaction gas 32 that is radially or tangentially injected into the cone-shaped mixing nozzle 42. In many instances the quench/reaction gas 32 can be injected at the same point depending on the arc current and precursor material. The quench/reaction gas 32 is preferably injected into the plasma tail flame 30 at the point where the temperature is such that nucleation and condensation have their onset. At any point in the plasma tail flame 30 there is a balance between condensation and evaporation of the embryonic crystallites. Analytically, this critical particle size is expressed with the following temperature and material property dependence.

$$d_{\rm C} = \frac{4\gamma V_{\rm a}}{kT \ln(p_{\rm v}/p_{\rm o})}$$

where d_c is the critical particle diameter, γ is the surface tension (N/m), V_a is the atomic volume (m³), k is Boltzman's constant (1.38 x 10⁻²³ J/K), T is the temperature (K), and P_V and P_O are the actual and equilibrium vapor pressure (N/m²). Without limiting the scope of the claims, these particles are believed to

act as monomers for growth into large particles via coalescence with other monomers or small particles. The amount of coalescence growth is dependent on the number of collisions the monomers experience. Because the temperature gradient is very large in the plasma tail flame 30, the vapor and the forming particles move with great velocity. The velocity in the plasma tail flame 30 is highly dependent on the arc characteristics,

$$\mathbf{v} = \left(\frac{\mu_0 IJ}{2\pi\rho}\right)^{\frac{1}{2}}$$

where v is the velocity (m/s), 1 is the arc current (A), J is the current density (A/m²), μ_0 is the free space permeability (1.256 x 10-8 N/A²), ρ is the vapor density (kg/m-³). Critical particles can be cooled quickly by injecting the quench/reaction gas 32 at the appropriate location where the monomers form, and the number of monomer collisions can be reduced by dilution with an optimal amount of the quench/reaction gas 32. This control measure can allow achievement of the desired small particle size. Because the velocity component varies as $r^{-1/2}$, the amount of the quench/reaction gas 32 injected can become more important than the point of gas injection. However, as production rates increase (and hence vapor density increases), both the amount and location of injection of the quench/reaction gas 32 become important. In FIG. 8A is shown the average particle size as a function of the amount of the quench/reaction gas 32 injected into the plasma tail flame 30, and FIG. 8B illustrates the effect of the injection location of the quench/reaction gas 32 upon the nanocrystalline particle diameter.

The reaction gas can be introduced with the quench gas or separately in order to form an oxide, carbide or nitride from the metallic or semiconducting precursor material (e.g., precursor Si can be used to form SiO₂, Si₃N₄, or SiC). Introducing the reaction gas with the quench gas into the plasma tail flame 30

allows the formation of a higher melting point material which enhances the quenching process and enhances the formation of small nanocrystal particles. Also, using a dissociable gas, for example, H₂ or N₂, in the working gas 22 allows the plasma tail flame 30 to reach a higher temperature which allows a more complete reaction of the precursor vapor.

When pure argon is used as the working gas 22 and oxygen is used as the reaction gas 32 and injected into the plasma tail flame 30, a substoichiometric (oxygen deficient) metal oxide nanocrystal product is formed. The substoichiometry can be detected by Raman spectroscopy, X-ray diffraction or thermo gravimetric analysis. The substoichiometric material requires post processing to achieve complete oxidation. The post processing usually involves annealing the powder at high temperature in air. This step often increases the degree of agglomeration and causes particles to grow in size. With the addition of 5-50% hydrogen to the working gas 22 (particularly Ar gas), the formed nanocrystal product can be made fully stoichiometric; and the product requires no post processing. This gas mixture reduces cost in manufacturing the nanostructured material and allows the formation of a weakly agglomerated material. The effect this has on particle size is quite substantial. By fully reacting the material during synthesis, nanocrystals are weakly agglomerated and range in size from 15-20 nanometers, whereas those particles that require post reaction will in general end up being 30-50 nanometers in diameter.

The nanocrystals formed by the process described herein can be tested for their ability to form a nanostructured material. There are various techniques for making ultrafine, nanometer sized particles; however, the degree of agglomeration is critical when bulk nanostructures or nanometer dispersions are desired. If agglomeration is too strong, practical mechanical forces are not sufficient to break down the agglomerates into primary or near primary particles. A high energy

process like ball milling can be used to break down the particles. However, the ball milling step often introduces contaminants into the material and reduces purity.

Two techniques are commonly used to measure particle sizes in the nanometer range; transmission electron microscopy (TEM) and BET surface area measurements. TEM yields a visual inspection of the individual crystallites that agglomerate into particles and BET infers an average particle size from a surface area measurement using the formula,

$$d = \frac{6}{\alpha S}$$

where d is the mean particle diameter, ρ is the specific gravity of the material (kg/m³) and S is the measured specific surface area (m²/gm). If the crystallites are weakly agglomerated, forming small necks between the crystallites, and the crystallites are equiaxed, nearly spherical in shape, then TEM and BET average particle sizes should be nearly identical. The average TEM crystallite size, and the average BET particle size should be within 30% of each other in order to have weak enough agglomeration to form a nanostructured material. The nanocrystals generated in the process show a much smaller average size and a narrow size distribution relative to other prior art methods for making nanocrystalline materials (i.e., U.S. Patent 4,642,207).

Table 2 shows a comparison of aluminum oxide and zirconium oxide generated by the instant process and by the process in U.S. Patent 4,642,207. Although both processes use arc energy to generate a vapor of the precursor, the modifications of this instant process yield better nanocrystalline material with smaller particle size and narrower size distribution. It should also be noted that a smaller size distribution has been obtained without the addition of a high frequency induction plasma like that used in U.S. patent 4,732,369.

Table 2				
	Preferred form	n of invention	Uda e U.S. Paten	
material	avg. size	width	avg. size	width .
ZrO2	8	2-25	-	20-200
Al ₂ O ₃	18	8-50	38	10-100

(all sizes are in nanometers)

The degree of the agglomeration in nanostructured materials can be measured by either bulk consolidation of the nanocrystals or by dispersion of the nanocrystals. Consolidation testing of the nanocrystal powders is achieved by placing a suitable amount of nanocrystalline powder into a die and punch apparatus and applying pressure in the range of 1000 - 40,000 psi to the punches. The resultant pellet is nanostructured if it has a pore size distribution that is similar to the grain size distribution. Materials that are optically transparent in the bulk single crystal state will also be transparent as a nanostructured material since the grains and pores of the material are much smaller than the wavelength of visible light (i.e., below 50 nm). The BET and TEM average particle sizes are shown in Table 3 along with the average pore size and distribution width. A transparent sample can be obtained by consolidating nanocrystals with a weak degree of agglomeration. An opaque sample results if prepared from nanocrystals with stronger (harder) agglomeration, forming a material with small grains, but large pores. Agglomeration is controlled by the injection location in the chamber 14 and the amount of the quench/reaction gas 32 injected, and the amount of gas injected into the cone-shaped mixing nozzle 42. This type of porosity can be difficult to remove by conventional sintering processes.

Table 3	all sizes are in nanometers			
sample	TEM crystallite size	BET particle size	avg. pore size	pore range
transparent	8	9	5	1-10
opaque	10	36	10	2-30

Consolidation testing establishes that the agglomeration of the nanocrystals is weak enough that agglomerates can be broken down by the mechanical energy generated in consolidation. The improvement of this invention over other nanocrystal material synthesis inventions can be best seen by reference to FIG. 9 which shows the pore size distribution, grain size distribution and regularity of pore spacing of titanium oxide. The data labeled "other" is from titanium oxide generated by the process described in US patent 5,128,081. The unlabeled data is from titanium oxide generated by the process in this instant invention. As can be seen in FIG. 9A the TEM particle size distribution is much smaller and narrower using the process described in this invention. In FIG. 9B is shown that once the nanocasals are consolidated, the pore distribution of the titanium oxide generated by the apparatus of this invention is much smaller than that which is generated by the process in US patent 5,128,081. In FIG. 9C, the regularity of the pore spacing further demonstrates the reliability and reproducibility of the method of making the nanostructured material. It should also be noted that the production rate of the process in this invention is over one hundred times greater than the production rate compared to the method set forth in U.S. Patent 5,128,081, making the instant method a commercially viable process.

An additional test of the agglomeration is the dispersion of untreated nanocrystal powders which is achieved by applying ultrasonic energy from a sonicating probe (0.2 - 1 Watts) to a liquid solution (water or ethylene glycol) that has a 5-50% weight loading of nanocrystals. The ultrasonic energy forms a colloidal dispersion that remains dispersed and in suspension for a minimum of

five months. By treating the solution with additional liquids, the nanocrystals can remain in suspension for longer periods of time. This dispersion test determines whether the nanocrystal powders generated by the process described in this invention are weakly agglomerated and have high purity and clean particle surfaces.

The following nonlimiting examples set forth exemplary methods of preparing nanostructured materials.

Examples

EXAMPLE 1

A metal rod 1/8"-3" diameter of Ti, Al, Zr, Y, Fe, Cr, V, Cu, Si, Sn, or Zn, with a known starting purity (99-99.99% pure) was used as an anode in a transferred arc. The cathode was 2% thoriated-W electrode and was shielded by 25-100 cfh of a working gas of argon combined with 5-100% nitrogen and/or 5-50% hydrogen. The current of the arc ranges from 100-750 amps. The arc creates a plasma tail flame 1-4 inches long and evaporates the anode metal precursor. The 1-200 g/hr of metal vapor is injected into the plasma tail flame created by the transferred arc. In the plasma tail flame, particle nucleation proceeds; and 10-1000 cfh oxygen is injected into the tail flame to form a suboxide species of the starting metal. The presence of hydrogen from the working gas forms water vapor and produces a fully oxidized material. Further cooling causes metal-oxide ceramic particles to form due to the presence of oxygen and high temperature. Quench gas (1-1000 cfm), in the form of air or the individual components of air (O2, N2, H2, H2O, CO2), were later added to further cool the particles and prevent hard agglomeration. The nanocrystalline powders are collected and typically have primary aggregate sizes of 1-50 nm and typical agglomerate sizes are 10-100 nm.

EXAMPLE 2

A metal rod 1/8"-3" diameter of Ti or Al with a known starting purity was used as an anode in a transferred arc. The cathode was a 2% thoriated-W electrode and was shielded by 25-100 cfh of a working gas of argon in combined with 5-100% nitrogen or 5-50% hydrogen. The current of the arc ranges from 100-750 amps. The arc creates a plasma tail flame 1-4 inches long and evaporates the anode metal precursor. The 1-200 g/hr of metal vapor was injected into the plasma tail flame created by the transferred arc. In the plasma tail flame, particle nucleation proceeds; and 10-400 cfh nitrogen was injected into the tail flame to form a nitride species of the starting metal. Further cooling causes nitride ceramic particles to form due to the presence of nitrogen and high temperature. Quench gas (1-1000 cfm), in the form of N₂, Ar or He was later added to further cool the particles and prevent hard agglomeration. The nanocrystalline powders were collected and typically have primary aggregate sizes of 1-50 nm and typical agglomerate sizes were 10-100 nm.

EXAMPLE 3

A metal powder was mixed in a 15-50 wt% loading with metal-oxide powder. The powders were then compounded into a rod 1/2"-3" diameter by pressing and sintering. The rod was electrically conductive and used as an anode in a transferred arc. The cathode was a 2% thoriated-W electrode and shielded by 25-100 cfh of a working gas of argon in combined with 5-100% nitrogen or 5-50% hydrogen. The current of the arc ranged from 100-750 amps. The anode was evaporated by the arc and 1-200 g/hr of the anode vapor was injected into the 1-4 inch long plasma tail flame created by the transferred arc. In the plasma tail flame particle nucleation proceeds, and 10-1000 cfh oxygen was injected into the tail flame to produce cooling and caused formation of metal-oxide ceramic particles. Quench gas (1-1000 cfm), in the form of air or the individual

components of air (O₂, N₂, H₂, H₂O, CO₂), was later added to further cool the particles and prevent hard agglomeration. The nanocrystalline powders were collected and typically have primary aggregate sizes of 1-50 nm and typical agglomerate sizes were 10-100 nm.

EXAMPLE 4

Nanocrystalline powder was made as in Example 1, was uniaxially consolidated in a die and punch arrangement with a pressure of 5-50 kpsi. The resulting bulk specimen has a density of 40-50% of its bulk theoretical value. The porosity in the compact has a narrow size distribution with pores ranging from 1-50 nm. If the consolidated specimen is heated to temperatures near 900°C, the porosity remains narrowly distributed and becomes ordered such that pore separation distance becomes constant throughout the sample. The ordering was detectable through the use of small angle neutron scattering (SANS), as shown in FIG. 9D. As shown in FIG. 9C, the ordering results in a well-defined distribution of pore spacings.

While preferred embodiments of the invention have been shown and described, it will be clear to those skilled in the art that various changes and modifications can be made without departing from the invention in its broader aspects as set forth in the claims provided hereinafter.

What Is Claimed Is:

1. A method of synthesizing stoichiometric nanocrystalline material, comprising the steps of:

providing a chamber for holding means for generating a nanocrystalline aerosol selected from the group consisting of a metal, a semiconductor and a ceramic;

further providing a nonconsumable cathode having a longitudinal axis and shielded against chemical reaction by a working gas flow which also creates an elongated ionized arc, said working gas flow consisting essentially of a non-oxidizing gas including at least one of hydrogen gas and nitrogen gas and further including an inert gas;

further providing a consumable anode inclined at an angle relative to the longitudinal axis of said cathode for providing material vaporizable from said anode by said elongated ionized arc;

initiating injection of at least one of a quench and a reaction gas within the boundaries of said elongated ionized arc and causing truncation of said arc at a point of injection; and

maintaining said arc between said cathode and anode by injecting the working gas and the quench and/or reaction gas, thereby forming the stoichiometric nanocrystalline material.

2. The method as defined in Claim 1 wherein said consumable anode is selected from the group consisting of aluminum, zirconium, yttrium, silicon, titanium and iron and the nanocrystalline material resulting therefrom the method is selected from the group consisting respectively of stoichiometric silicon compounds of said reaction gas, stoichiometric titanium compounds of said reaction gas, and stoichiometric iron compounds of said reaction gas.

3. The method as defined in Claim 2 wherein said reaction gas comprises oxygen and said nanocrystalline material consists essentially of titanium dioxide in stoichiometric form.

- 4. The method as defined in Claim 2 wherein said reaction gas comprises nitrogen and said nanocrystalline material consists essentially of titanium nitride in stoichiometric form.
- 5. The method as defined in Claim 2 wherein said reaction gas comprises an oxygen containing gas and said nanocrystalline material consists essentially of yttrium oxide in stoichiometric form.
- 6. The method as defined in Claim 2 wherein said reaction gas comprises oxygen and said nanocrystalline material consists essentially of ZrO₂ in stoichiometric form.
- 7. The method as defined in Claim 2 wherein said reaction gas comprises oxygen gas and said nanocrystalline material consists essentially of stoichiometric Al₂O₃.
- 8. The method as defined in Claim 2 wherein said reaction gas comprises oxygen gas and said nanocrystalline material consists essentially of stoichiometric gamma phase Fe₂O₃.
- 9. The method as defined in Claim 1 wherein said working gas flow and said quench and reaction gas are introduced into said chamber as part of an open loop gas flow system.
- 10. The method as defined in Claim 1 wherein a reaction zone having a characteristic reaction zone color is formed near the intersection of the longitudinal axes of said anode and cathode with a first ionized arc near said cathode having a characteristic color and said arc near said anode having a characteristic color, said method of synthesizing nanocrystalline material being

optimized for rate of production by generating a maximum color separability among the three colors formed during performance of the method.

- 11. The method as defined in Claim 10 wherein the nanocrystalline material being formed consists essentially of an iron oxide and said reaction zone has a characteristic yellow color, said arc near said cathode has a characteristic red color, and said arc near said anode has a characteristic green color.
- 12. The method as defined in Claim 1 wherein the nanocrystalline material has a grain size distribution of average size of about 8 nanometers and 18 nanometers for ZrO₂ and Al₂O₃, respectively.
- 13. The method as defined in Claim 1 wherein a characteristic pore size is associated with the nanocrystalline material with said pore size having an average diameter less than about 20 nanometers.
- 14. The method as defined in Claim 1 wherein at least one of the amount of said working gas flow is controlled, the amount of said quench and/or reaction gas is controlled and the location relative to the anode of quench/reaction gas injection is controlled to vary the particle diameter of said nanocrystalline material.
- 15. The method as defined in Claim 1 further including the steps of:

 providing a cyclone for turbulent mixing of said nanocrystalline aerosol
 produced in said reaction zone;

expanding the cyclonically mixed nanocrystalline material into a large volume chamber to further cool the noncrystalline material; and

passing the cooled nanocrystalline material through filter media to separate the nanocrystalline material from the gas.

16. The method as defined in Claim 1 wherein said working gas flow comprises an inert gas selected from the group consisting of He, Ar, Ne, Kr and Xe.

17. The method as defined in Claim 16 wherein said working gas further includes a dissociable gas selected from the group consisting of nitrogen, hydrogen and mixtures thereof,

- 18. The method as defined in Claim 17 wherein the dissociable gas consists essentially of 5-50% by volume hydrogen gas added to the working gas.
- 19. The method as defined in Claim 1 wherein said at least one of quench and reaction gas is injected using a concentric gas injection geometry to allow homogeneous injection into said elongated ionized arc.
- 20. The method as defined in Claim 1 wherein said quench and/or reaction gas comprises at least one of oxygen, nitrogen, helium, air and mixtures thereof.
- 21. A system for synthesizing nanocrystalline material, comprising:
- a chamber for containing means for generating a nanocrystalline aerosol selected from the group consisting of a metal, a semiconductor and a ceramic;
- a nonconsumable cathode disposed within said chamber and having means for providing a working gas flow for shielding said cathode against chemical reaction and further creates an elongated ionized arc;

a consumable anode disposed within said chamber and inclined at an angle relative to said cathode, material being vaporizable from said anode by said elongated ionized arc;

means for injecting at least one of a quench and a reaction gas within at least one point of the boundary defining said elongated ionized arc; and

means for providing power to said anode and said cathode allowing formation of a reaction zone and generation of said noncrystalline aerosol and synthesizing said nanocrystalline material.

22. The system as defined in Claim 21 further including means for controlling the rate of flow of at least one of the working gas, the quench gas and the reaction gas.

23. The system as defined in Claim 21 wherein said reaction gas is selected from the group consisting of nitrogen, oxygen, a carbon containing gas and mixtures thereof.

- 24. The system as defined in Claim 21 wherein said working gas is selected from the group consisting of nitrogen, hydrogen and mixtures thereof,
- 25. The system as defined in Claim 24 wherein said working gas consists essentially of 5-50% by volume hydrogen gas and an inert gas.
- 26. The system as defined in Claim 21 further including:
 a cyclonic mixer for turbulently mixing said aerosol produced in said
 reaction zone for cooling said aerosol;

means for providing power to said anode and said cathode; and means for filtering nanocrystalline material from gas flowing through said system.

- 27. The system as defined in Claim 26 further including a reverse air jet pulse device for dislodging the nanocrystalline material from said filtering means.
- 28. The system as defined Claim 21 wherein said means for injecting comprises a concentric gas injection device positionable along the location of said elongated arc.

PCT/US94/08533

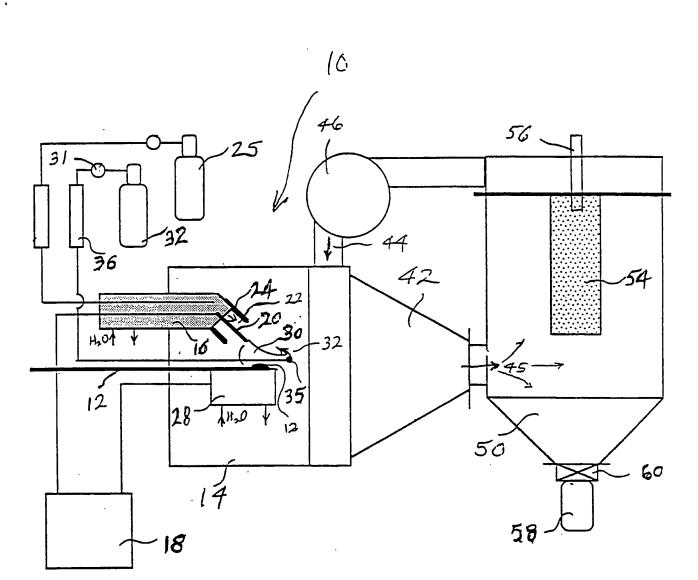
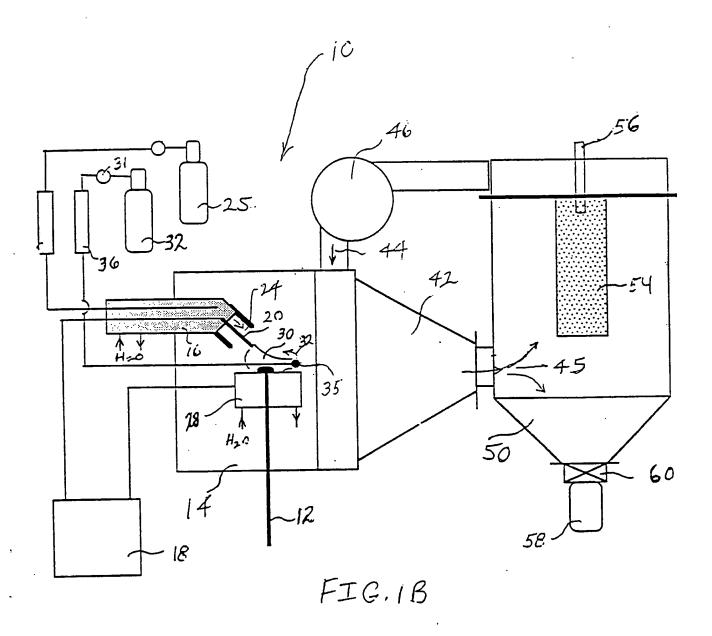


FIG. 1A



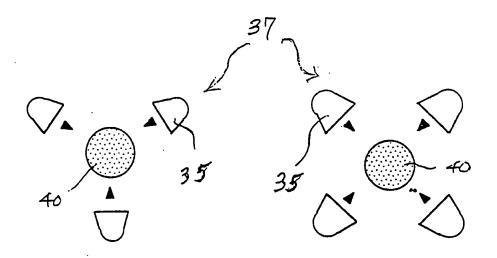


FIGURE 2

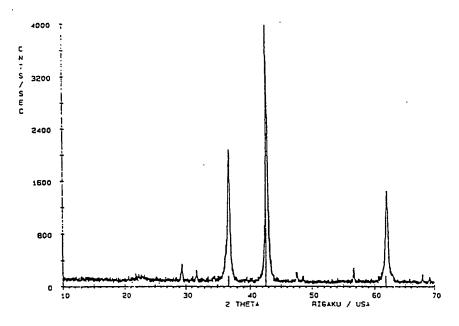


Figure 3a

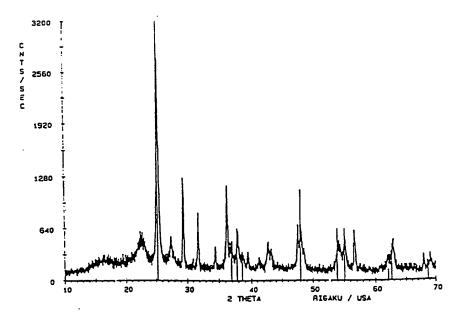


Figure 35 4/10

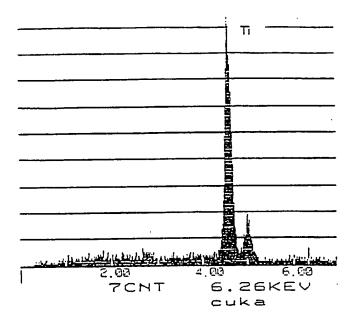


FIG. 4A

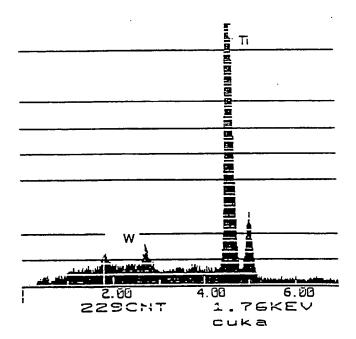


FIG.4B

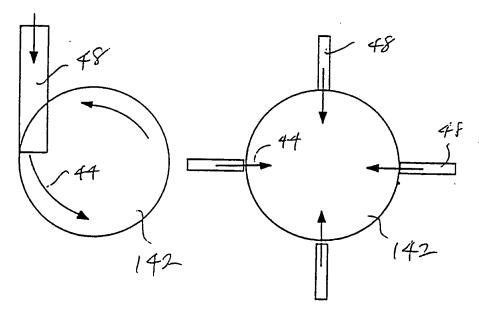




FIGURE 5b

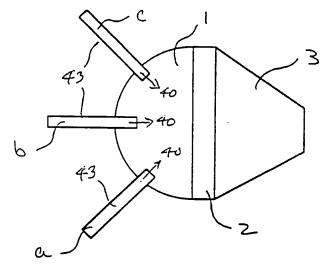
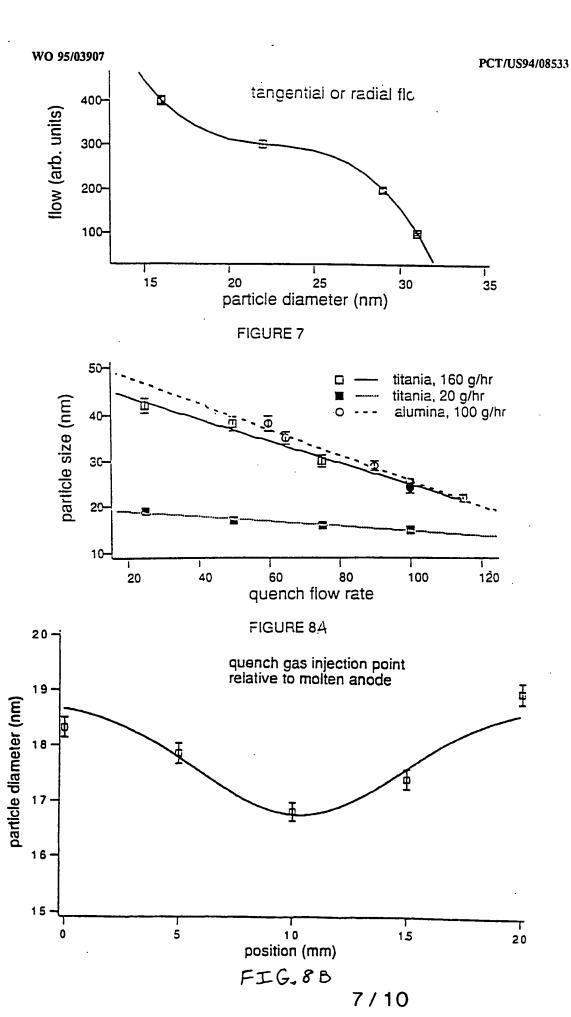


FIGURE 6



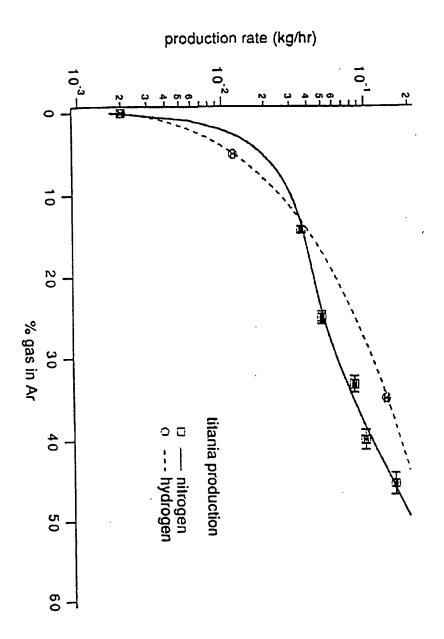
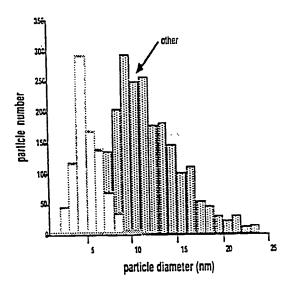


FIGURE 8C



other other pore diameter (nm)

FIG. 9A

FIG. 9B

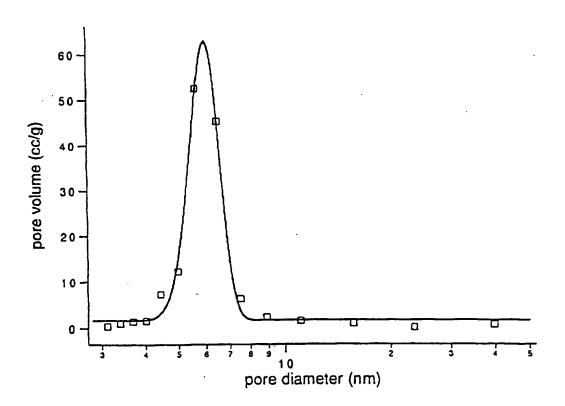
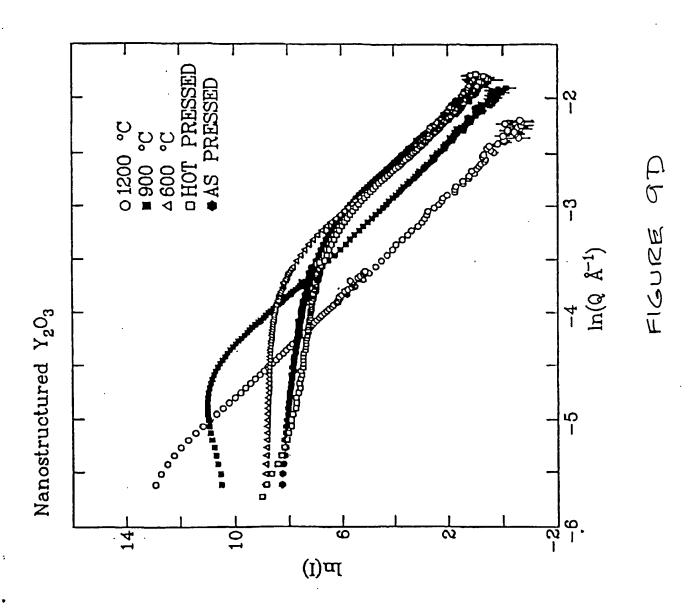


FIG.9C



INTERNATIONAL SEARCH REPORT

International application No. PCT/US94/08533

A. CLASSIFICATION OF SUBJECT MATTER IPC(5) :B 22 F 9/14; B 29 B 9/00				
US CL :75/10.23 ; 266/207				
According to International Patent Classification (IPC) or to both national classification and IPC B. FIELDS SEARCHED				
	documentation searched (classification system follow	ad hu shoriff and a second 1.1		
1		•		
	75/10.19, 10.2, 10.21, 10.22, 10.23, 10.26, 334; 2	·		
Documenta	tion searched other than minimum documentation to the	he extent that such documents are included	d in the fields searched	
Electronic o	data base consulted during the international search (r	name of data base and, where practicable	, search terms used)	
	CUMENTS CONSIDERED TO BE RELEVANT			
Category*	Citation of document, with indication, where a	ppropriate, of the relevant passages	Relevant to claim No.	
А	US, A, 3,752,610 (GLAZUNOV E	T AL.) 14 AUGUST 1973		
Α	US, A, 3,887,667 (CLARK) 03 JUNE 1975.			
Α	US, A, 4,058,698 (BYKHOVSK) 1977.	Y ET AL.) 15 NOVEMBER		
Α	US, A, 4,367,393 (YERUSHALMY ET AL.) 04 JANUARY 1983.			
Α	US, A, 4,482,134 (UDA ET AL.)	13 NOVEMBER 1984.		
Υ	US, A, 4,732,369 (ARAYA ET AL.) 22 MARCH 1988, THE 1-28 ENTIRE DOCUMENT.			
X Furth	er documents are listed in the continuation of Box (See patent family annex.		
Special categories of cited documents:		"T later document published after the inte	rnational filing date or priority	
	rument defining the general state of the art which is not considered be part of particular relevance	date and not in conflict with the applica principle or theory underlying the inve	ation but cited to understand the ention	
E earlier document published on or after the international filing date		"X" document of particular relevance; the considered novel or cannot be considered.	c claimed invention cannot be	
L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other		when the document is taken alone	·	
Y *O* document referring to an oral disclosure, use, exhibition or other		"Y" document of particular relevance; the considered to invoive an inventive combined with one or more other such	step when the document is	
means		being obvious to a person skilled in th	c art	
the priority date claimed		The same parent		
20 OCTOBER 1994		Date of mailing of the international sea 2 1 NOV 1994	ren report	
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT		Authorized offices Deblu Tromas		
Washington	, D.C. 20231 o. (703) 305-3230	ALLAN KUHNS (70)		
т агопипс М	v. 17031303+3230	Telephone No. (703) 308-3462	1	

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US94/08533

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT				
Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.		
A	US, A, 5,147,449 (GREWE ET AL.) IS SEPTEMBER 1992.			
		·		
	·			

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record.

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:
BLACK BORDERS
☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
☐ FADED TEXT OR DRAWING
☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
☐ SKEWED/SLANTED IMAGES
COLOR OR BLACK AND WHITE PHOTOGRAPHS
GRAY SCALE DOCUMENTS
LINES OR MARKS ON ORIGINAL DOCUMENT
REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
OTHER:

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.